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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/780,573	02/12/2001	William R. Bandy	1689.0070001

CONFIRMATION NO. 1620

FORMALITIES LETTER



OC000000006018513

26111

STERNE, KESSLER, GOLDSTEIN & FOX PLLC
1100 NEW YORK AVENUE, N.W., SUITE 600
WASHINGTON, DC 20005-3934

Date Mailed: 04/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$796.
 - \$396 for 22 total claims over 20.
 - \$400 for 5 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1636.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/18/2001 MYUSUE1 00000119 09780573

01 FC:201
02 FC:203
03 FC:202
04 FC:205

365.00 OP
198.00 OP
200.00 OP
65.00 OP

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STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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AND PROCEEDINGS BEFORE
FEDERAL COURTS & AGENCIES
**REGISTERED PATENT AGENT
***SENIOR COUNSEL

WRITER'S DIRECT NUMBER:

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INTERNET ADDRESS:

JHARROUN@SKGF.COM

May 17, 2001

Commissioner for Patents
Washington, D.C. 20231

Box Missing Parts

Re: U.S. Patent Application
Appl. No. 09/780,573; Filed: February 12, 2001
For: **Method of and System for Counterfeit Prevention**
Inventors: William R. Bandy *et al.*
Our Ref: 1689.0070001

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated April 30, 2001, Applicants submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal Form PTO/SB/17 (*in duplicate*);
2. Copy of the Notice to File Missing Parts;
3. Original Declaration, executed by the inventors;
4. Our Check No. 31203 for \$818.00 to cover:
\$ 355.00 Filing Fee for Patent Application (37 C.F.R. § 1.16);
\$ 398.00 Fee for excess claims (37 C.F.R. § 1.16); and
\$ 65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16); and
5. One (1) return postcard.

Commissioner for Patents
May 17, 2001
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



John A. Harroun
Attorney for Applicants
Registration No. 46,339

JAH:jmh

P:\USERS\JHALL\1689(Matrix Tech. Systems)\007-1 MP SKGF Cover.May 01
SKGF Rev. 4/27/00

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/780,573
Filing Date	February 12, 2001
First Named Inventor	William R. Bandy
Examiner Name	to be assigned
Group Art Unit	2131
Attorney Docket No.	1689.0070001

TOTAL AMOUNT OF PAYMENT (\$ 818.00)

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 19-0036
Deposit Account Name Sterne, Kessler, Goldstein & Fox, P.C.

- ☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☒ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☒ Other*

*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 355.00)

2. EXTRA CLAIM FEES

Total Claims	Extra	Fee from below	Fee Paid
42 - 20** = 22	X \$9.00		= 198.00
Indep. Claims 8 - 3** = 5	X \$40.00		= 200.00
Multiple Dependent			=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
108	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 398.00)

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
				105	130	205	65	Surcharge - late filing fee or oath	\$65.00
				127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
				139	130	139	130	Non-English specification	
				147	2,520	147	2,520	For filing a request for ex parte reexamination	
				112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
				115	110	215	55	Extension for reply within first month	
				116	390	216	195	Extension for reply within second month	
				117	890	217	445	Extension for reply within third month	
				118	1,390	218	695	Extension for reply within fourth month	
				128	1,890	228	945	Extension for reply within fifth month	
				119	310	219	155	Notice of Appeal	
				120	310	220	155	Filing a brief in support of an appeal	
				121	270	221	135	Request for oral hearing	
				138	1,510	138	1,510	Petition to institute a public use proceeding	
				140	110	240	55	Petition to revive - unavoidable	
				141	1,240	241	620	Petition to revive - unintentional	
				142	1,240	242	620	Utility issue fee (or reissue)	
				143	440	243	220	Design issue fee	
				144	600	244	300	Plant issue fee	
				122	130	122	130	Petitions to the Commissioner	
				123	130	123	130	Petitions related to provisional applications	
				126	180	126	180	Submission of Information Disclosure Stmt	
				581	40	481	40	Recording each patent assignment per property (times number of properties)	
				146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
				149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
				179	710	279	355	Request for Continued Examination (RCE)	
				169	900	169	900	Request for expedited examination of a design application	

Other fee (specify):

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65.00)

SUBMITTED BY

Name (Print/Type)	John A. Harroun	Registration No. (Attorney/Agent)	46,339	Telephone	202-371-2600
Signature				Date	5/17/01

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.